| Alliance Institution Roles             | Responsibilities/Comments  | Roster      | Patient    | OPEN Access | RAVE Access | Legacy NCCTG             | Alliance | Roles Managed by                  | Query   |
|--|--|-------------|------------|-------------|-------------|--------------------------|----------|-----------------------------------|---------|
|  | Note: these are all maintained in RSS                            | Maintenance | Enrollment |             |             | and ACOSOG<br>RDC Access | Website* | Alliance<br>Membership<br>Manager | Tracker |
| Principal Investigator (PI)            | PI at Main member level (Tier I) responsible for the             |             |            |             |             |                          |          |                                   |         |
| – Main member (network)                | conduct of Alliance activities at all sites within the           |             |            |             |             |                          |          |                                   |         |
|  | member network.  |             |            |             |             |                          |          |                                   |         |
|  | Management of funds to support the work of the                   |             |            |             |             |                          |          |                                   |         |
|  | Alliance in their institutions.                                  |             |            |             |             |                          |          |                                   |         |
|  | Ensure the Alliance P&P are followed and met at each             |             |            |             |             |                          |          |                                   |         |
|  | institution within their network.                                |             |            |             |             |                          |          |                                   |         |
|  | Receives communications from Alliance regarding                  |             |            |             |             |                          |          |                                   |         |
|  | institutional performance, contracts, etc.                       |             |            |             |             |                          |          |                                   |         |
|  | Responsible for conveying information to institutions            |             |            |             |             |                          |          |                                   |         |
|  | within their network.  |             |            |             |             |                          |          |                                   |         |
|  | Must have broadcast flag set to "yes."                           |             |            |             |             |                          |          |                                   |         |
| Co-Principal Investigator              | Co-PI at Main member level (Tier I) assumes the PI               |             |            |             |             |                          |          |                                   |         |
| – Main member (network)                | responsibilities if the PI is unable to perform Alliance duties. |             |            |             |             |                          |          |                                   |         |
| Principal Investigator (PI) - Site     | PI at site level (Tier 2) responsible for the conduct of         |             |            |             |             |                          |          |                                   |         |
| ······································ | Alliance activities at the site and any sub-affiliate            |             |            |             |             |                          |          |                                   |         |
|  | sites.   |             |            |             |             |                          |          |                                   |         |
|  | Ensure the Alliance P&P are followed and met their               |             |            |             |             |                          |          |                                   |         |
|  | site(s).   |             |            |             |             |                          |          |                                   |         |
|  | Must have broadcast flag set to "yes."                           |             |            |             |             |                          |          |                                   |         |
| Co-Principal Investigator - Site       | Assumes the site PI responsibilities if the PI is unable         |             |            |             |             |                          |          |                                   |         |
|  | to perform Alliance duties.                                      |             |            |             |             |                          |          |                                   |         |
| Lead Clinical Research Professional    | Responsible for ensuring that the roster of                      |             |            |             |             |                          |          |                                   |         |
| (LCRP)                                 | institutional members for the network via RUMS.                  |             |            |             |             |                          |          |                                   |         |
|  | Receives and distributes communications from the                 |             |            |             |             |                          |          |                                   |         |
|  | Group and is the primary clinical research                       |             |            |             |             |                          |          |                                   |         |
|  | professional contact for the network.                            |             |            |             |             |                          |          |                                   |         |
|  | Must have broadcast flag set to "yes."                           |             |            |             |             |                          |          |                                   |         |
|  | LCRP must be rostered with role at each site within              |             |            |             |             |                          |          |                                   |         |
|  | network. Only 1 LCRP per network.                                |             |            |             |             |                          |          |                                   |         |

| [                                 |   | r |   | r |   |   |   |   |
|-----------------------------------|---|---|---|---|---|---|---|---|
| Secondary Lead CRP (SLCRP)        | A SLCRP should be designated to serve as a backup to the LCRP responsibilities.   |   |   |   |   |   |   |   |
|                                   | Must have broadcast flag set to "yes".  |   |   |   |   |   |   |   |
|                                   | Responsible for ensuring that the roster of   |   |   |   |   |   |   |   |
|                                   | institutional members for designated sites within the   |   |   |   |   |   |   |   |
|                                   | member network in RUMS.   |   |   |   |   |   |   |   |
|                                   | SLCRP can be specific to the site. Only 1 SLCRP can be rostered to a site.  |   |   |   |   |   |   |   |
| Investigator                      |   | 1 |   |   |   |   |   |   |
| Physician Assistant               |   |   |   |   |   |   |   |   |
| Nurse Practioner                  |   |   |   |   |   |   |   |   |
| Pharmacist                        |   |   |   |   |   |   |   |   |
| Fellow/Post Doc                   |   |   |   |   |   |   |   |   |
| Clinical Research Associate (CRA) | Obtain IRB approval for Alliance protocols, consent<br>forms, annual continuing review, and any protocol<br>amendments that require IRB approval<br>Obtain patient consent (and re-consents, when<br>appropriate) for participation in Alliance studies<br>When authorized, register consented eligible patients<br>to Alliance studies.<br>Submit accurate protocol-required data, specimens<br>and supporting documents according to protocol<br>requirements<br>Maintain a research record of supporting documents<br>for each Alliance patient.<br>Participate in Alliance audits at the institution.<br>Maintain a patient notification policy |   |   |   |   |   |   | ~ |
| Surgical CRA                      |   |   |   |   |   |   |   |   |
| Oncology Nurse                    |   |   |   |   |   |   |   |   |
| Administrator                     |   |   |   |   |   |   |   |   |
| Administrative Personnel          |   |   |   |   |   |   |   |   |
| Imaging Coordinator               |   |   |   |   |   |   |   |   |
| Pathology Coordinator             |   |   |   |   |   |   |   |   |
| Pharmacy Coordinator              |   |   |   |   |   |   |   |   |
| Surgical Coordinator              |   |   |   |   |   |   |   |   |
| Radiation Oncology Coordinator    |   |   |   |   |   |   |   |   |
|                                   |   | 1 | 1 | 1 | 1 | 1 | I | L |

| Transplant Coordinator              |   |  |  |  |  |
|-------------------------------------|---|--|--|--|--|
| Cytogeneticist                      | Role designated by Karyotype Review Committee and<br>study PI approval. |  |  |  |  |
| Laboratory Director                 |   |  |  |  |  |
| Laboratory Coordinator              |   |  |  |  |  |
| Institutional Laboratory Technician |   |  |  |  |  |
| Repository Laboratory Technician    |   |  |  |  |  |
| RAVE CRA                            |   |  |  |  |  |
| Site Investigator                   |   |  |  |  |  |
| Read Only                           |   |  |  |  |  |
| Registrar                           |   |  |  |  |  |
|                                     |   |  |  |  |  |

\* Alliance utilizes the CTEP/CTSU Single Sign On for access.