CALGB 40903: Phase II Single-Arm Study of Neoadjuvant letrozole for ER(+) Postmenopausal DCIS

Alliance for Clinical Trials in Oncology 2014 Group Meeting

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Clinical Research Professionals Education Meeting Friday May 9, 2014



Disclosures

GHI: Advisory Board

Merck: Research Funding

I hate overtreating DCIS!

Objectives

- Describe the role of breast cancer screening in the detection of DCIS
- Understand what is currently known about the biology of DCIS
- Explain the current treatment recommendations for DCIS, including indications for adjuvant radiation
- Identify how CALGB 40903 addresses some of the unknown questions in DCIS

The New Hork Times Magazine

THE RESERVE OF THE PARTY OF

OF FITNES

PSYCHOLOGY OF LYING

Our Feel-Good War on Breast Cancer

Has raising awareness become more important than saving lives? BY PEGGY GRENSTEIN

The New Hork Times

Prone to Error: Earliest Steps to Find Cancer

By STEPHANIE SAUL

Published: July 19, 2010

In 2007, Monica Long 49 year old divorced mother of three girls went for her annual mammogram

A biopsy was performed at Cheboygan Memorial Hospital, and a diagnosis of DCIS was made.

Underwent lumpectomy and radiation

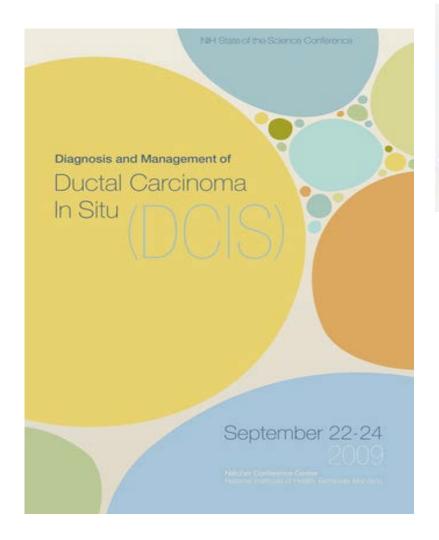
Changed care providers—new pathologists disputed the original diagnosis, claiming that the patient had never had DCIS





Epidemiology of DCIS

- Ductal carcinoma in situ, precancer, preinvasive cancer
- Estimated incidence of DCIS: almost 50,000 new cases annually
- Usually diagnosed by calcifications on mammography in asymptomatic patient
- DCIS now comprises over 20% of all mammographically detected breast cancers
- Nonobligate precursor of invasive cancer; rate and likelihood of progression are unknown

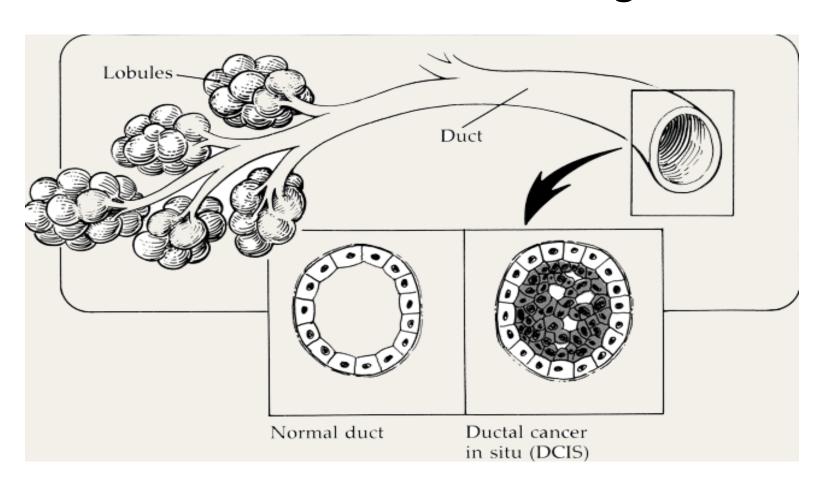


NIH State-of-the-Science Conference: Diagnosis and Management of Ductal Carcinoma in Situ (DCIS)

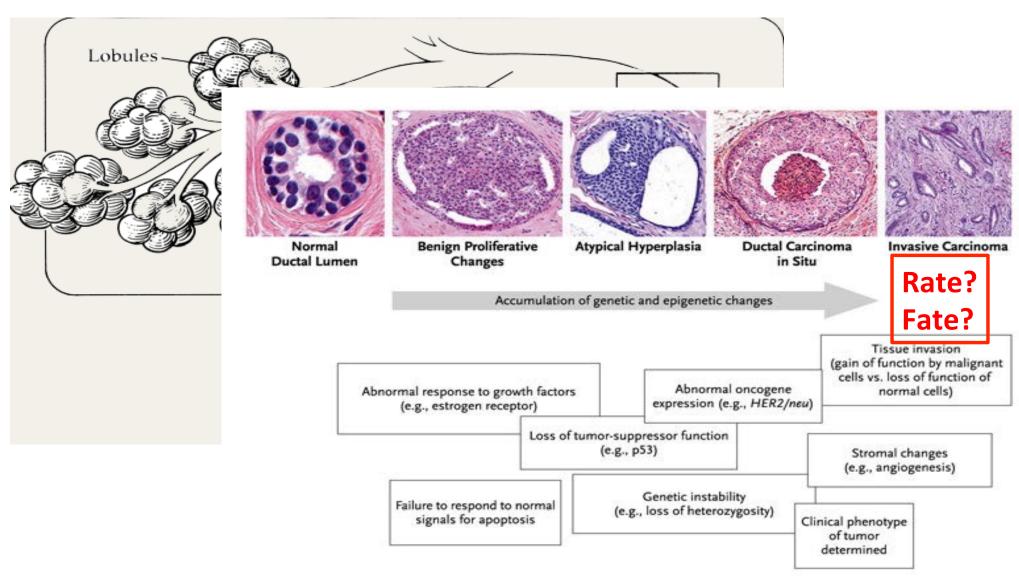
September 22-24, 2009 Bethesda, Maryland

Conclusions: The diagnosis and management of DCIS is highly complex with many unanswered questions, including the fundamental natural history of untreated disease. Because of the noninvasive nature of DCIS, coupled with its favorable prognosis, strong consideration should be given to remove the anxiety-producing term "carcinoma" from the description of DCIS. The outcomes in women treated with available therapies are excellent. Thus, the primary question for future research must focus on the accurate identification of patient subsets diagnosed with DCIS...

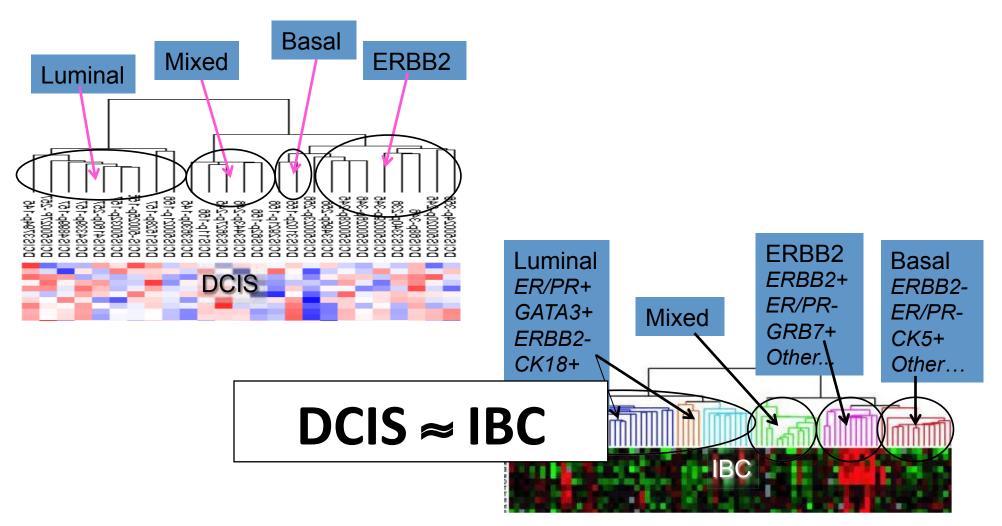
DCIS is Part of a Pathologic Continuum



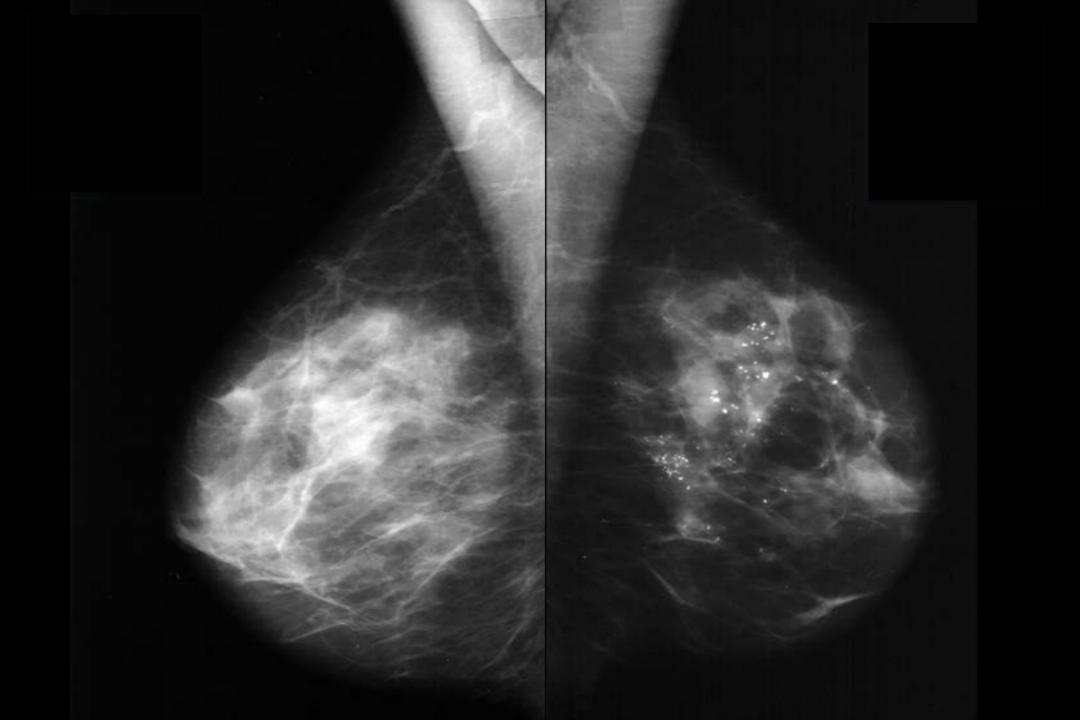
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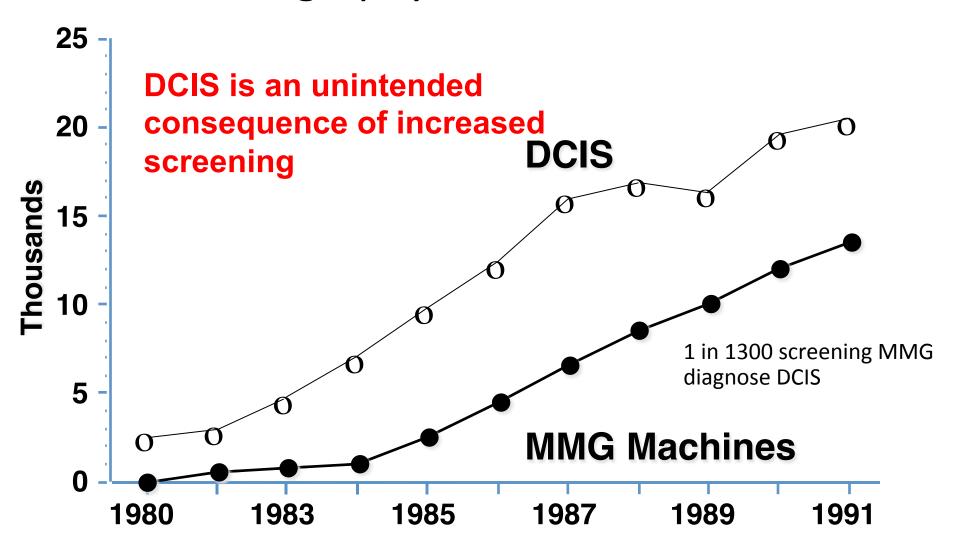
Molecular Classification of DCIS and invasive cancer



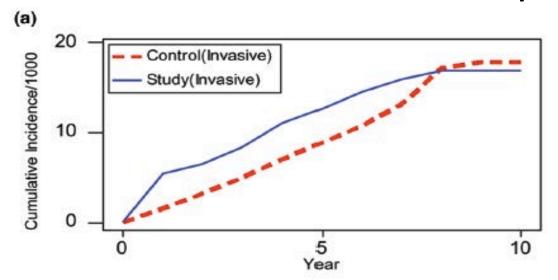




DCIS Increased as the Number of Mammography Machines Increased

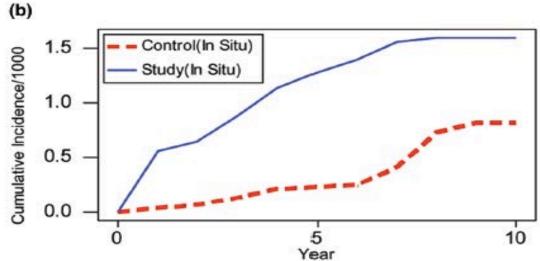


Screening increases the detection of DCIS: Swedish Two-County Trial



Cumulative incidence of breast cancers in study and control groups of the Swedish Two-county Trial.

(a) Invasive cancers. (b) In situ cancers.



Duffy S et al, Breast Cancer Research 2005

Weighing the benefits and harms of mammographic screening

Benefits vs. harms of screening mammography per 1,000 women				
Age	Mortality reduction	No. of women with at least 1 false alarm	No. of women overdiagnosed	
40	0.1-1.6 lives saved	510-690	?-11	
50	0.3-3.2 lives saved	490-670	3-14	
60	0.5-4.9 lives saved	390-540	6-20	

"Mammography has both benefits and harms — that's why it's a personal decision"

versus

"Mammograms save lives."

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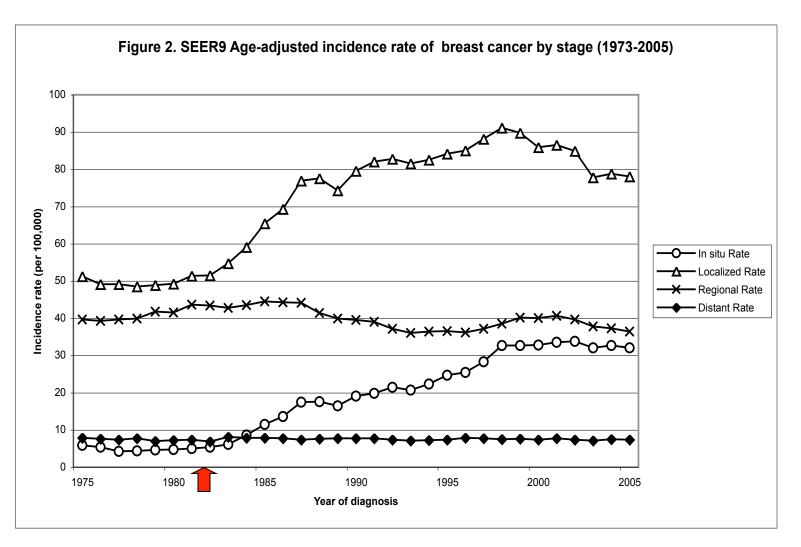
versus

"Mammograms save lives."

"Overdiagnosis" according to Wikipedia:

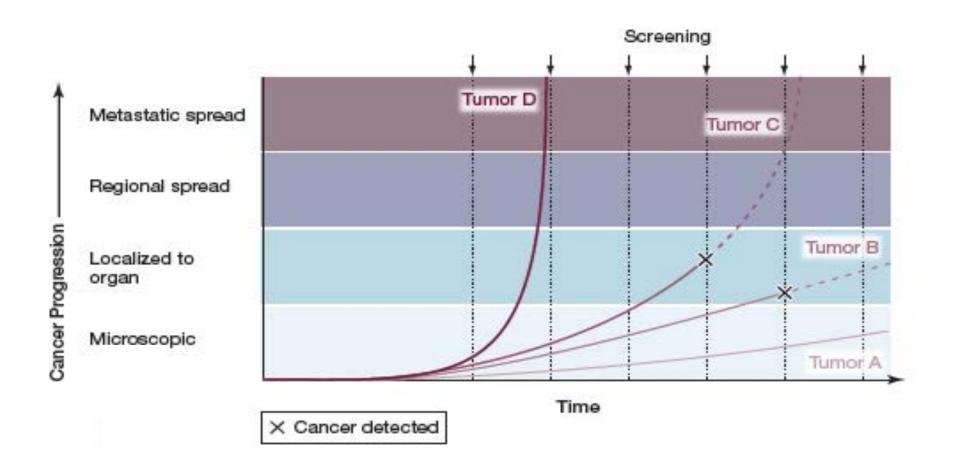
The diagnosis of "disease" that will never cause symptoms or death during a patient's lifetime. Overdiagnosis is a side effect of testing for early forms of disease which may turn people into patients unnecessarily and may lead to treatments that do no good and perhaps do harm.

Overdiagnosis occurs when a disease is diagnosed correctly, but the diagnosis is irrelevant. A correct diagnosis may be irrelevant because treatment for the disease is not available, not needed, or not wanted, "or does not add benefit."

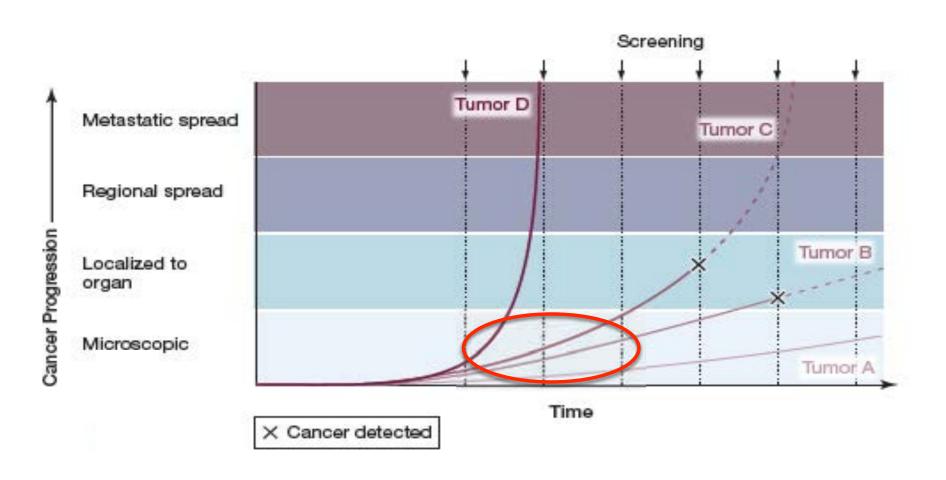


SEER 9 age-adjusted incidence rate of ductal carcinoma in situ and invasive breast cancer for all females, 1973-2005. Mammography screening was introduced in the early 1980s

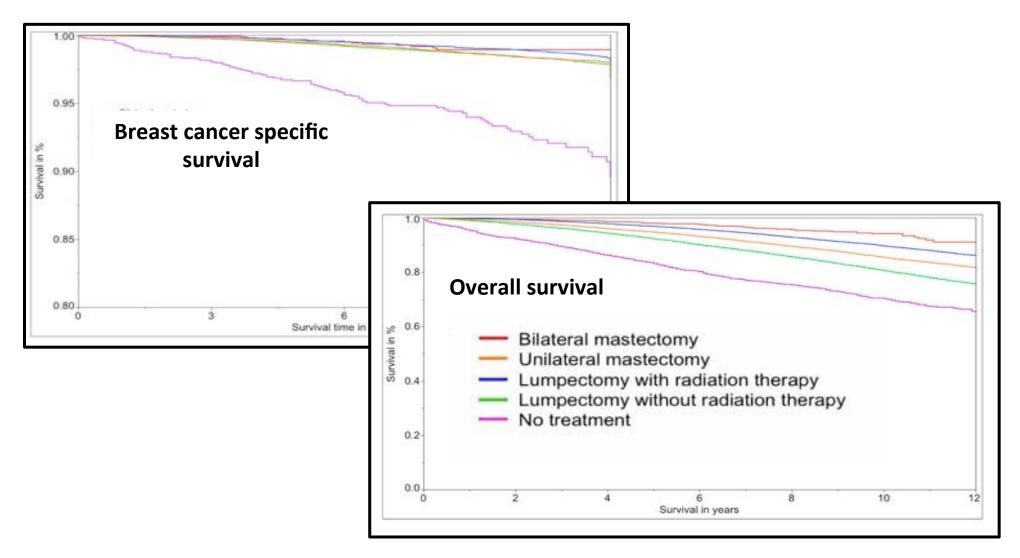
Some patients are diagnosed with "cancer" that does not progress



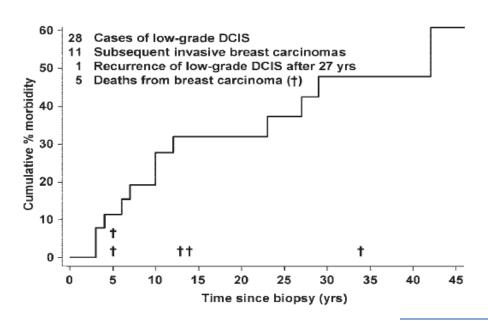
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DCIS and competing causes of mortality



Natural history of DCIS



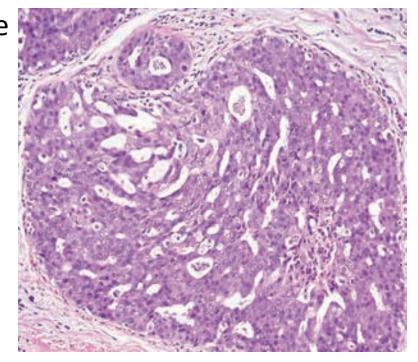
Nurses' Health Study Collins et. al. *Cancer* 2005

Vanderbilt Cohort Sanders et. al. *Cancer* 2005

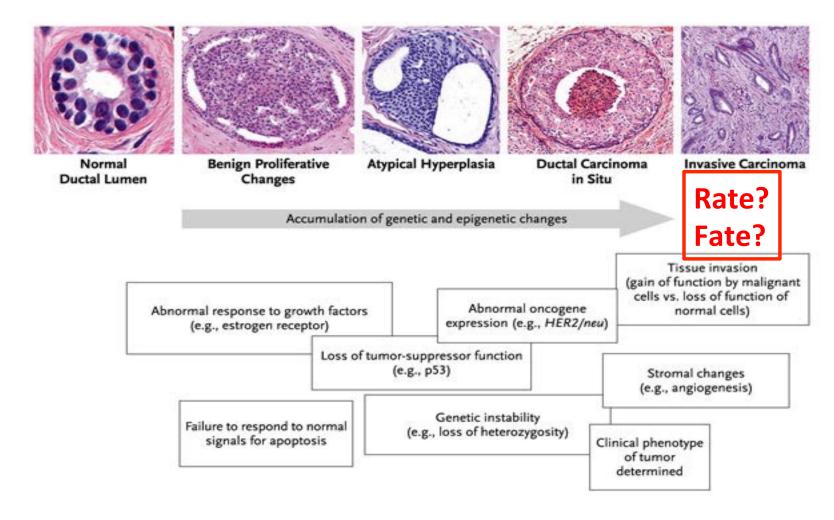
DCIS grade	N	Subsequent DCIS	Subsequent invasive ca
Low	4	1	2
Intermediate	6	2	2
High	3	1	2
Total	13	4	6

Disease reservoir of DCIS

- 7 autopsy series examined the prevalence of breast cancer in women not known to have had breast cancer in life
 - Invasive cancer 1.3% (0-1.8%)
 - DCIS 8.9% (0-14.7%)
- Series reporting a higher level of scrutiny tended to discover more cases of cancer

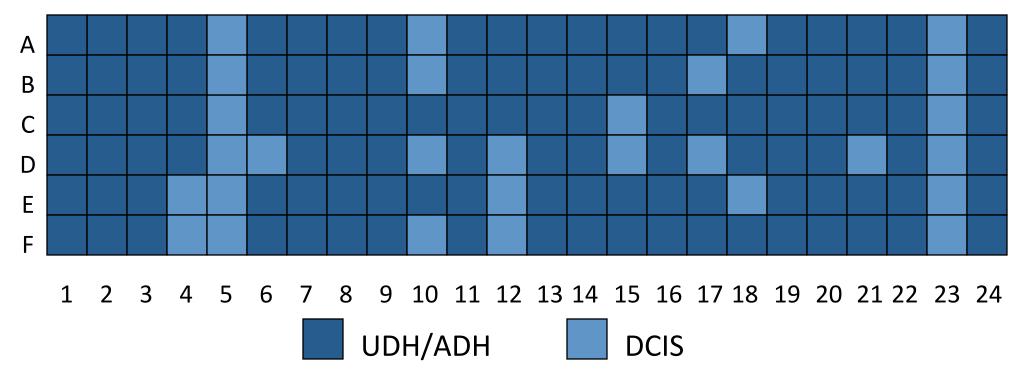


DCIS is Part of a Continuum of Pathologic Change



Pathologists don't always agree who has DCIS

6 Pathologists



24 biopsies of proliferative breast lesions

8 cases were discordant

NCCN Guidelines for Treatment of DCIS

DCIS Stage 0 Tis, N0, M0

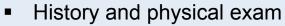


- History and physical exam
- Diagnostic bilateral MMG
- Pathology review
- Determination of tumor ER status
- Genetic counseling if patient is high risk for hereditary breast cancer

- Total mastectomy
- Lumpectomy + whole breast radiation therapy
- Lumpectomy only
- with or without sentinel node biopsy+/- reconstruction

NCCN Guidelines for Treatment of DCIS

DCIS Stage 0 Tis, N0, M0



- Diagnostic bilateral MMG
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 +/- reconstruction

ADH/ALH

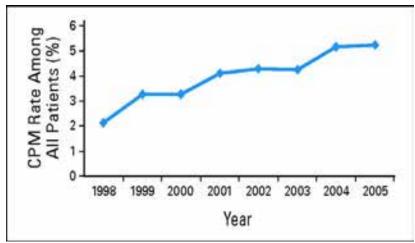


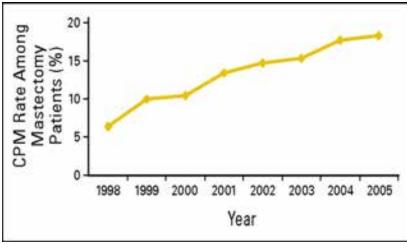
- History and physical exam
- Diagnostic bilateral MMG
- Pathology review
- Determination of tumor ER status
- Genetic counseling if patient is high risk for hereditary breast cancer

- Consider chemoprevention
- Active surveillance

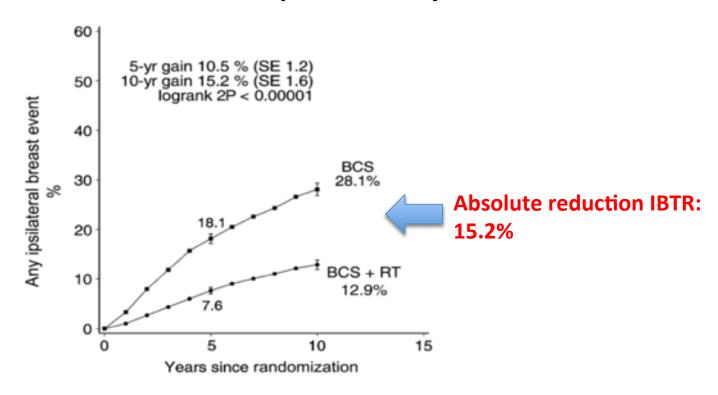
Mastectomy: Contralateral Mastectomy for DCIS

- SEER database of 51,030 women diagnosed with unilateral DCIS between 1998 and 2005
- 2,072 women chose contralateral prophylactic mastectomy (4.1% of all patients, 13.5% of patients undergoing mastectomy)
- Between 1998 and 2005, the contralateral prophylactic mastectomy rate in women undergoing ipsilateral mastectomy for DCIS increased from <u>6.4% to 18.4%</u>



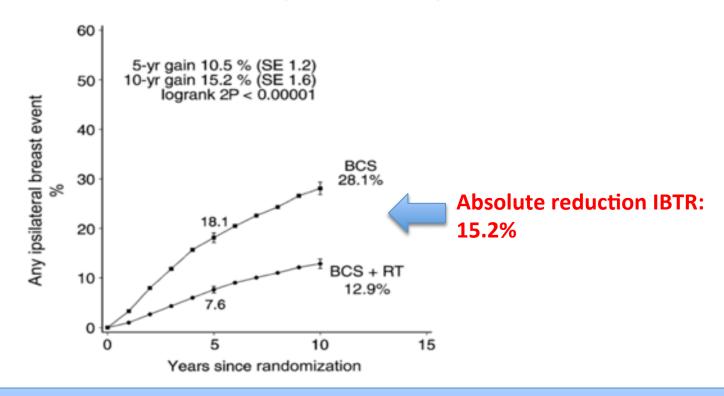


Radiation Reduces Risk of DCIS Recurrence After Lumpectomy



 Overall, there is over a 50% proportional reduction in ipsilateral events with radiation following lumpectomy; the absolute magnitude of the reduction was dependent on baseline recurrence risk

Radiation Reduces Risk of DCIS Recurrence After Lumpectomy



This benefit was independent of patient age, tumor size, margin status

Lumpectomy only:

To radiate or not to radiate? Defining a low risk DCIS subset

- Clinical data
 - Grade, size, ER, PR, Her2.patient characteristics
 - Van Nuys Prognostic Index
 - clinical nomogram
- Molecular tools
 - DCIS Score
 - Other emerging markers
- Decision aids
- 1. Macdonald HR, et al. Am J Surg. 2006;192:420-2.
- 2. Silverstein MJ, Lagios MD. J Natl Cancer Inst. 2010;41:193-6.
- 3. Rudloff U, et al. *J Clin Oncol*. 2010;28:3762-69.
- 4. Ringberg A, et al. *Eur J Cancer*. 2001;37:1514-22.
- 5. Kerlikowske K, et al. J Natl Cancer Inst. 2010;102:627-37.

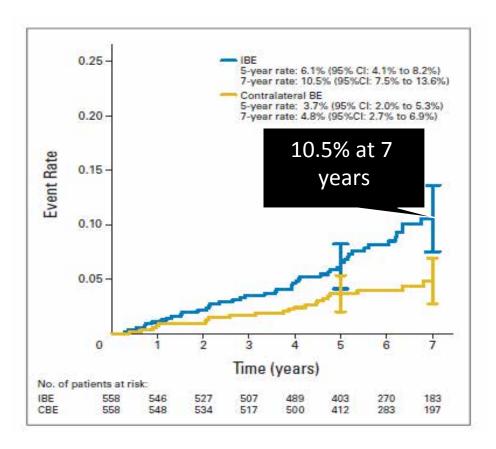
Van Nuys Prognostic Index (VNPI)

Factor	1	2	3
Size	≤15 mm	16-40 mm	>40 mm
Margin	≥ 10 mm	1-9 mm	<1 mm
Pathology	grade 1/2 no necrosis	grade 2 necrosis	grade 3
Age	>60 years	40-60 years	<40 years

Outcomes of excision alone for DCIS

Study	n	Follow up	LRR	Grade, margin
DFCC, 1994	158	5-year	12%	1-2 1 cm
E5194, 1997	670	7-year	10.5% 18%	1-2
				3 mm
	246	10-year	12%	LOW
	45		24%	INTERMED
	36		27%	HIGH
RTOG 9408, 1999	298	5-year	3.2%	1-2 3 mm
NSABP B17, 1985	403	12-year	32%	All; 15% grade 3 Close/+ 17%
EORTC	503	10-year	26	All; 18% grade 3 Close/+ 16%

ECOG E5194 – Ipsilateral and Contralateral Breast Events at 7 years



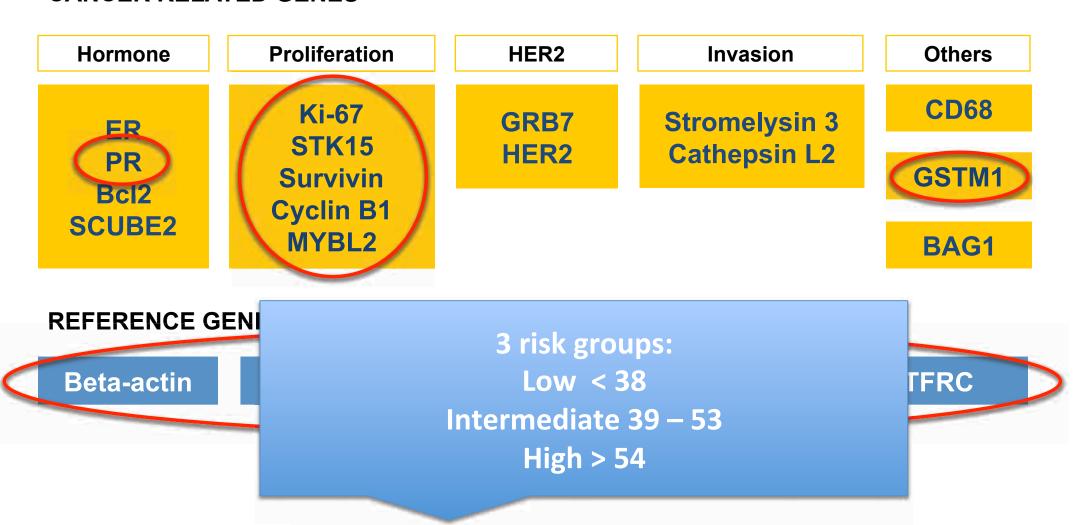
5-year rate: 15.3% (95% CI: 8.2% to 22.5%) 7-year rate: 18.0% (95% CI:10.2% to 25.9%) Contralateral BE 18% at 7 years 5-year rate: 3.9% (95% Cl: 0.15% to 7.7%) 7-year rate: 7.4% (95% Cl:1.4% to 13.3%) 0.25 0.20 Event Rate 0.15 0.10 0.05 -Time (years) No. of patients at risk: IBE 103 99 92 69 51 39 103 97 CBE 102 100 78 41

Low-Intermediate Grade

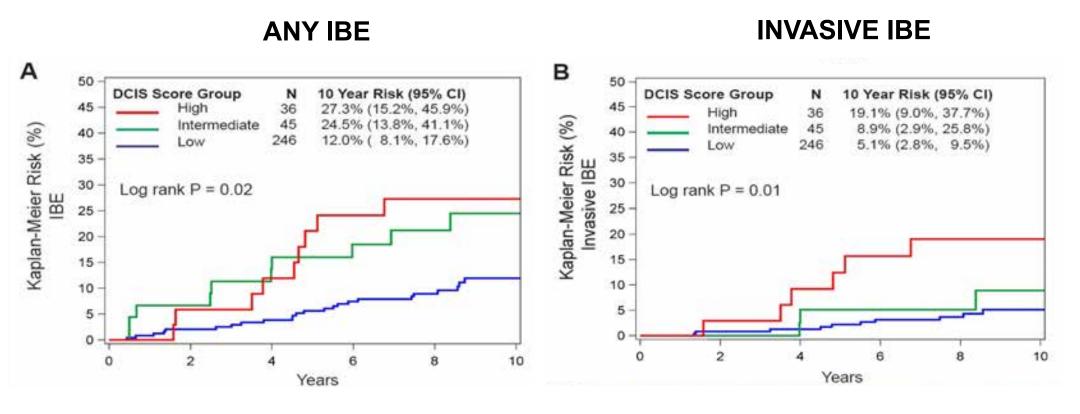
High Grade

The "DCIS Score" is a subset of the Recurrence Score

CANCER RELATED GENES



DCIS Score[™]: 10-Year Ipsilateral Breast Events (IBE) by Risk Group



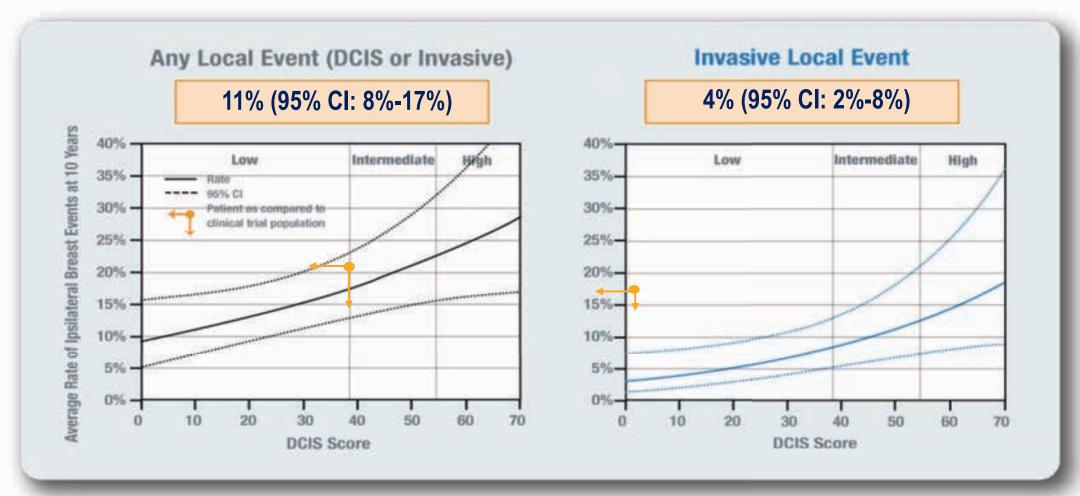
Solin L, et al. SABCS 2011(abstr S4-6), JNCI 2013

RESULTS

DCIS Score™ = 12

CLINICAL EXPERIENCE: PROGNOSIS FOR DCIS PATIENTS

The Clinical Validation study included female patients with DCIS treated with local excision without irradiation, and required clear surgical margins ≥ 3 mm and a lesion size of ≤ 2.5 cm. Approximately a third of patients were treated with tamoxifen. The average 10 year rate for ipsilateral breast events for patients who had a DCIS Score of 12 was:



Are there sufficient data on which to base treatment decisions?

- Small dataset with total of 46 recurrences; very few with high DCIS
 Score
- Analysis based on 10-year follow up recurrence data
- Individualized recurrence estimates could serve as a starting point for discussions regarding adjuvant RT
- Additional validation studies are needed to determine more generalized utility

Adjuvant Tamoxifen for DCIS

- 2 prospective randomized studies
 - NSABP B-24 (n=1798, 7 years f/u)
 - UKCCCR Trial (2x2 design n=2606, 4 years f/u)

	n	f/u	margins	pts<50 yo	Ipsilateral recurrence (T/P)
NSABP	1804	83 months	any	33%	7.7%/11.1%
					(p<0.05)
UKCCCR	1701	53 months	negative	10%	13%/15%
					(p=0.42)

	DVT	PE	stroke
tamoxifen	9	2	5
placebo	2	1	1

Studies in Progress and Future Directions in DCIS Treatment

Ongoing Clinical Trials of AI for DCIS

NSABP B-35

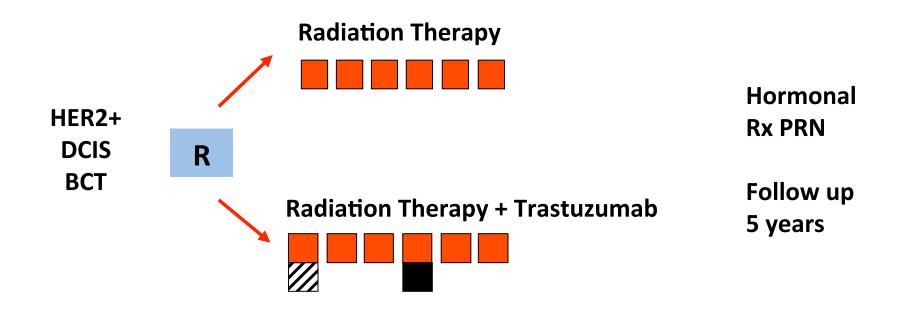
- Opened Jan 2003
- Target accrual: 3000
 postmenopausal DCIS with
 lumpectomy+RT
- Randomized to adjuvant anastrazole or tamoxifen
- Primary endpoint: time to any breast cancer event

IBIS II for DCIS

- Opened Sept 2003
- Cancer Research UK
- Target Accrual: 4000
 postmenopausal DCIS with
 lumpectomy+/- RT
- Randomized to adjuvant anastrozole or tamoxifen
- Primary endpoints: any new or recurrent brCA

Schema: NSABP B43

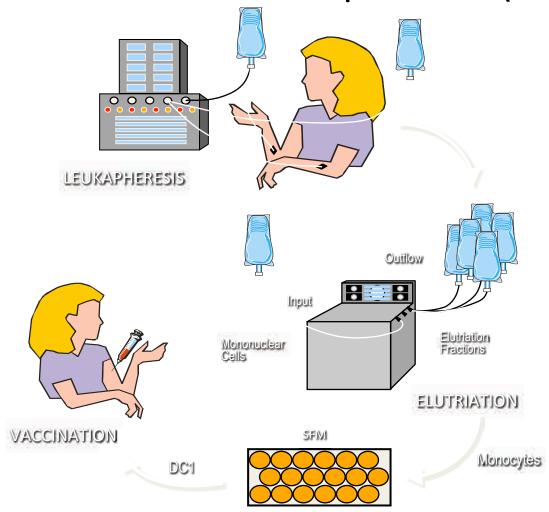
Phase III Randomized Study of Radiotherapy With Versus Without Trastuzumab (Herceptin®) in Women With HER2-Positive Ductal Carcinoma In Situ Undergoing Lumpectomy (enrollment target: 2000; activated 11/2008)



q3-week Trastuzumab cycles x 2

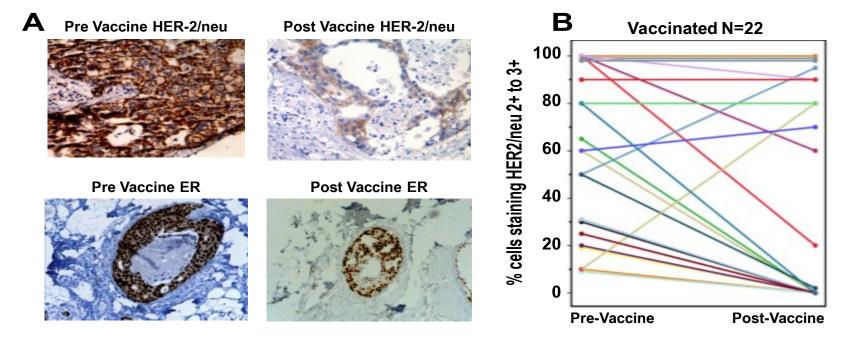
☑ Trastuzumab 8 mg/kg loading dose■ Trastuzumab 6 mg/kg final dose

Her2 targeted Dendritic Cell Vaccine: Protocol for DC1 Preparation (U Penn)



DC1 Activated with GM-CSF, IL-4, IFN-γ, LPS, pulsed with MHC class II and MHC class I HER-2/neu peptides, 40 hours total culture

Response to Her-2 targeted dendritic cell vaccine



Percent of cells staining HER-2/neu 2+ to 3+ Pre- and Post-vaccination Patients sorted by Phenotype and Pre-vaccination HER-2/neu expression

ER ^{pos} HER-2/neu ^{pos}					
% Pre- vaccine	% Post- vaccine	Percent Change	% Pre- vaccine	% Post- vaccine	Percent Change
10	0	-100%*	65	0	-100%*
20	0	-100%*	80	0	-100%*
20	0	-100%*	80	80	0%
25	0	-100%*	90	90	0%
30	0	-100%*	100	90	-10%
50	2	-96%*	100	>90	0%
50	95	+90%	100	100	0%
60	70	+17%	100	100	0%

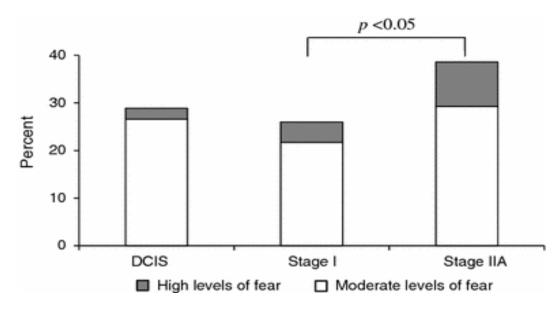
ER ^{neg} HER-2/neu ^{pos}				
% Pre-	% Post-	Percent		
vaccine	vaccine	Change		
10	0	-100%*		
10	80	+700%		
30	0	-100%*		
60	0	-100%*		
100	20	-80%		
100	60	-40%		

*patient scored as HER-2/neuneg

But what about the patient?

The Worried Well...

Fear of cancer recurrence in 506 women with DCIS Stage I-II breast cancer



FCR scores 2 years post surgery in women who were free of recurrence

- Prospectively enrolled in a quality-of-life study
- Completed interviews at 4-6 weeks, 6 months, and 2 years post surgery.
- Mean fear of cancer recurrence (FCR scores) determined, using four items from the Concern About Recurrence Scale (CARS)

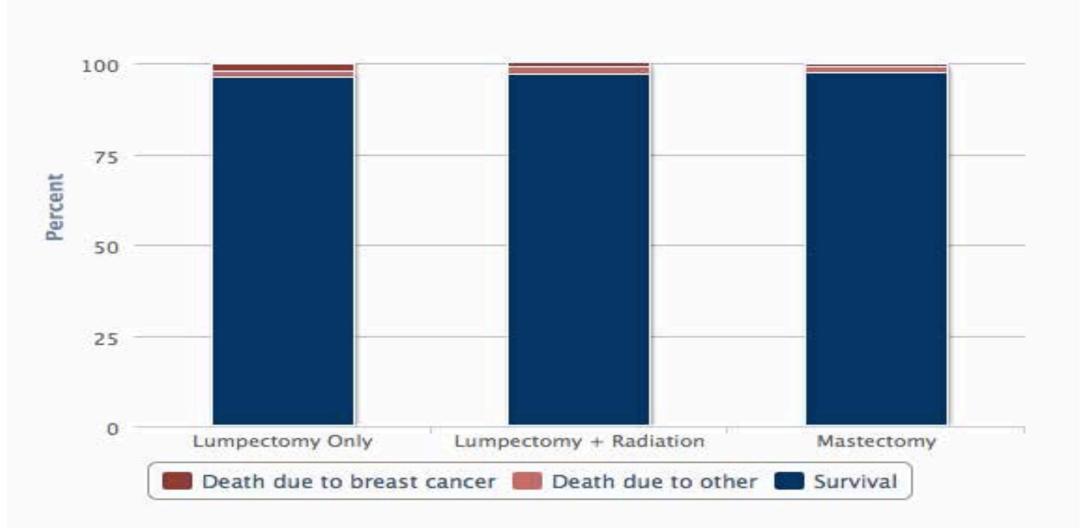
	Introduction	In the following three scenarios, please imagine that you are the patient receiving each diagnosis explained. Keep in mind that in each scenario, the diagnosis is different but the treatment options and risks are the same. Scenario 1 Based on the results of your last mammogram, your doctor suggested that you get a breast biopsy. The biopsy showed an abnormality in the breast tissue. The condition is not bothering you and is not dangerous to your health right now. Your diagnosis is non-invasive breast cancer, also called pre-invasive breast cancer. You have the 3 treatment options described below				
	Scenario					
		Surgery	Medication	Active Surveillance		
	Main Treatment	Surgically removing part or all of the breast Mammography every 6 months for 5 years	Daily medication for 5 years Mammography every 6 months for 5 years	Mammography every 6 months for 5 years		
	Chance of <u>developing</u> invasive <u>breast cancer</u> * in	3%	17%	30%		
	the next 10 years:	(3 in 100 chance) (1 in 6 chance)		(3 in 10 chance)		
	*Invasive breast cancer is th	is the type of cancer that can spread to other parts of your body.				
١	Chance of <u>dying from</u> breast cancer* in the next	0.30%	1.70%	3%		
	10 years:	(3 in 1000 chance)	(1 in 60 chance)	(3 in 100 chance)		
	*Women who develop invasive breast cancer have some chance of dying from the disease.					
	Side Effects: Mild:					
		Psychological discomfort associated with the removal of part or all of the breast	Hot flashes	None		
	Serious but rare:	Surgical complications, Death	Blood clots, Cataracts, Endometrial cancer	None		

Words Matter: patient treatment choice based on terminology used to describe clinical scenario

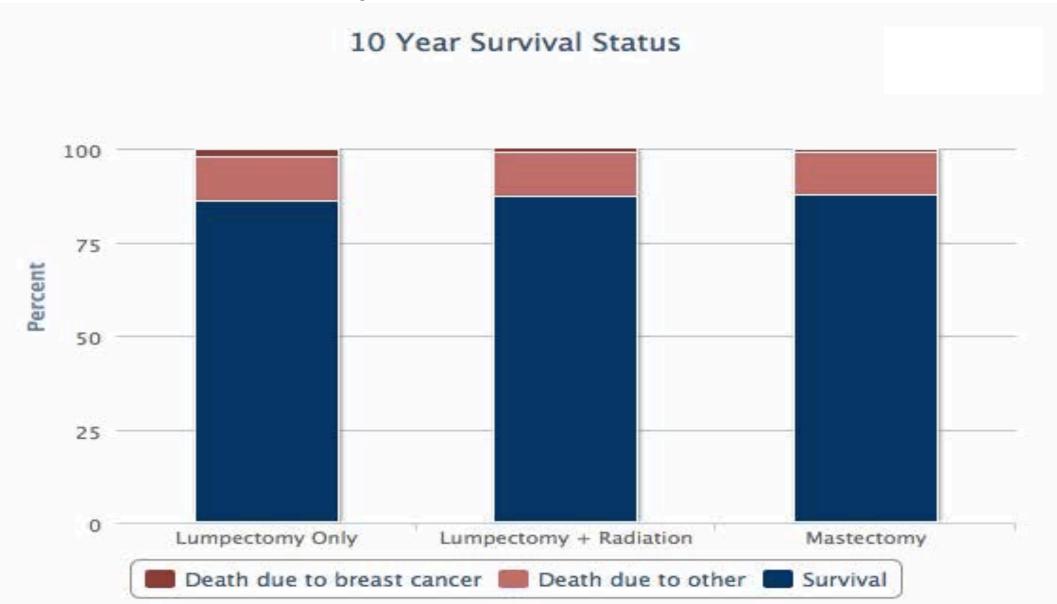
N=394	1	Terms used to describe DCIS			
-		Cancer n (%)	Lesion n (%)	Abnormal cells n (%)	
	Surgery	186 (47)	136 (34)	124 (31)	
Treatment Options	Medication	79 (20)	70 (18)	82 (21)	
	Active surveillance	129 (33)	188 (48)	188 (48)	

40 year old with DCIS

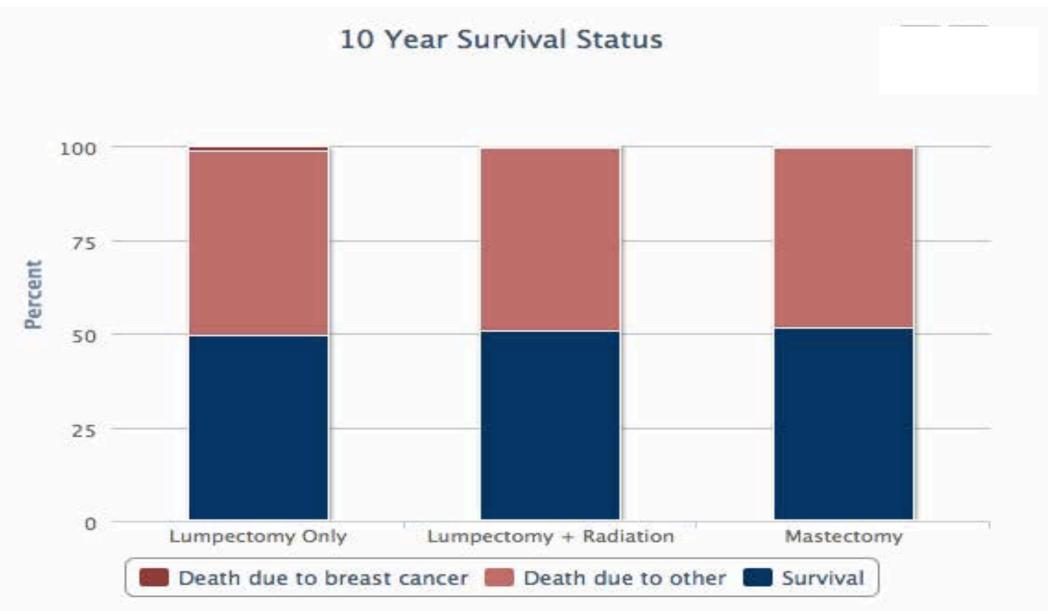
10 Year Survival Status



55 year old with DCIS

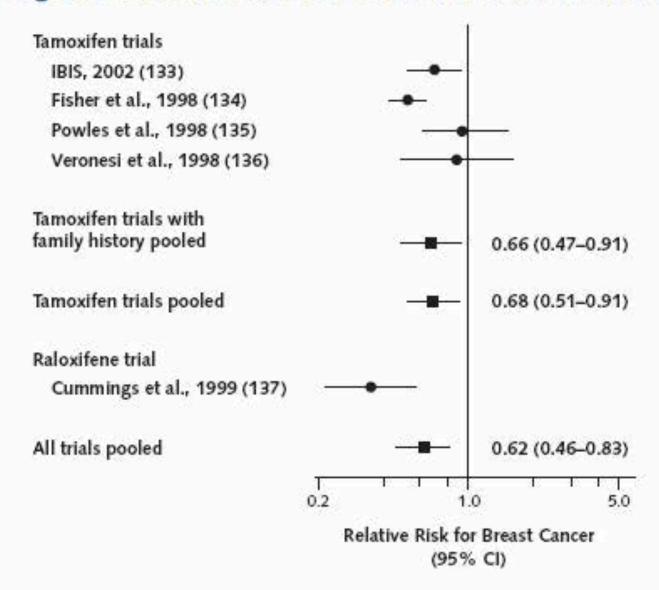


70 year old with DCIS



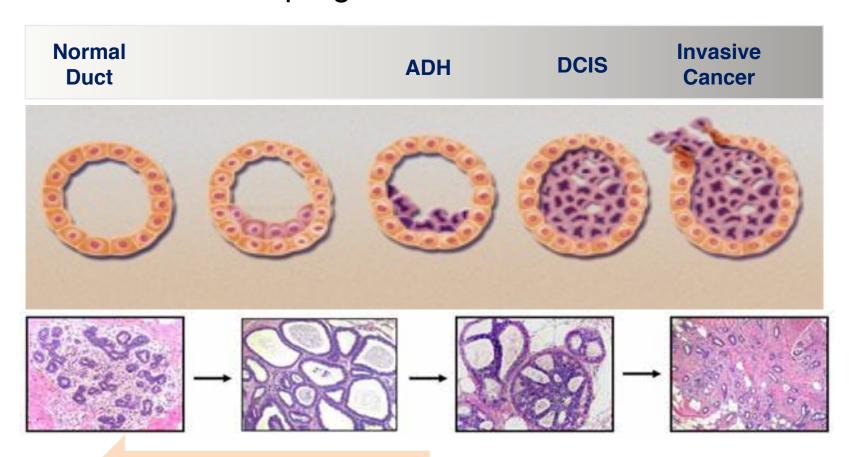
Last but not least...

Figure 2. Relative Risks for Breast Cancer in Chemoprevention Trials



Notes: Error bars represent 95% Cls. IBIS = International Breast Cancer Intervention Study.

Histologic Model of Cancer Progression: Can progression be reversed?

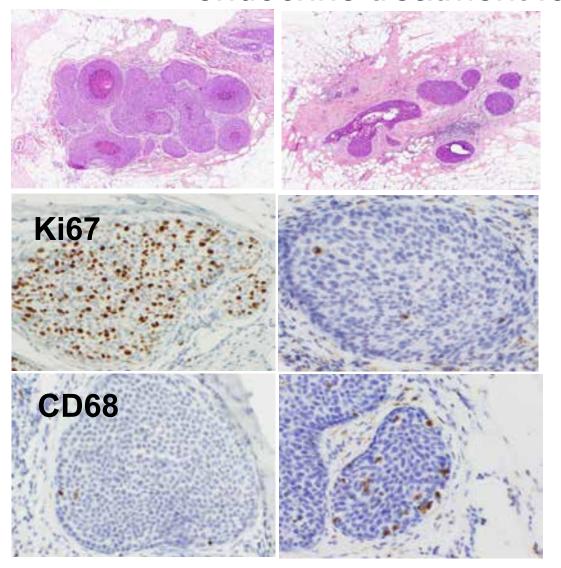


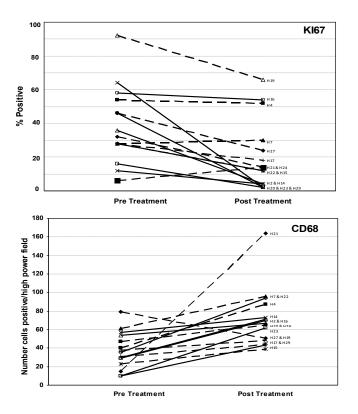
tamoxifen/raloxifene/AI??

Challenges to implementation of active surveillance for DCIS

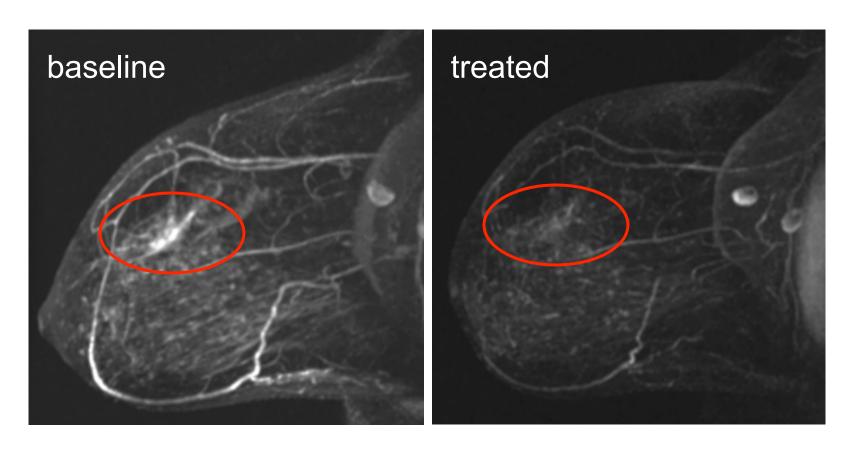
- Limited validation of biomarkers to predict magnitude and timeline of risk for invasive cancer
- Need to educate providers and patients regarding impact of DCIS on breast cancer specific survival
- Mandatory requirement for accurate imaging studies
 - MMG not effective
 - Expense of MRI may be prohibitive
 - Breast MRI as a research tool
- Pilot study of MRI imaging in patients with DCIS undergoing neoadjuvant hormonal therapy
 - No change on MMG at 3 months
 - Premenopausal patients excluded

Alteration of biomarker expression is associated with endocrine treatment for DCIS





MRI assessment of letrozole response



Responder: ER-positive, postmenopausal

Phase II Single-Arm Study of Neoadjuvant letrozole for ER(+) postmenopausal DCIS

- Endpoints:
 - Primary: radiographic response on MRI
 - Change in MRI maximum tumor volume
 - Secondary:
 - Mammographic extent of disease
 - Candidacy for breast conservation
 - Frequency of reexcisions
 - Frequency of complete pathologic response
 - Frequency of invasive cancer at final pathology
 - Treatment-related adverse events

Phase II Single-Arm Study of Neoadjuvant letrozole for ER(+) postmenopausal DCIS

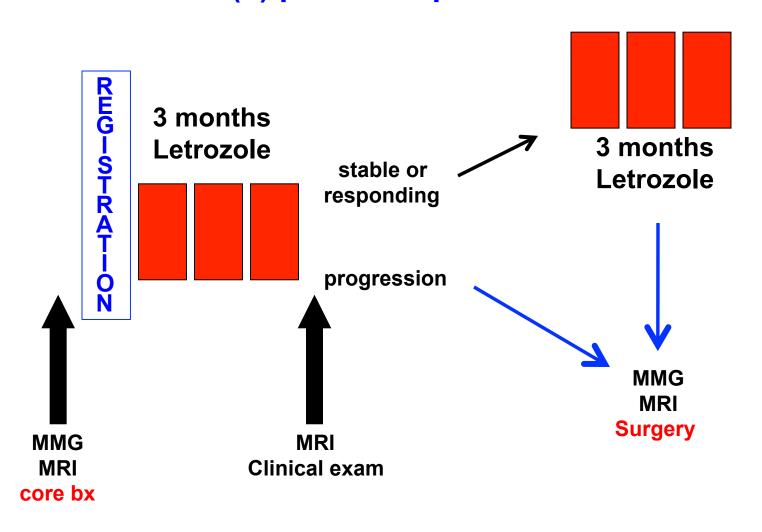
Study Design:

Phase II single arm study of 6 months preoperative letrozole

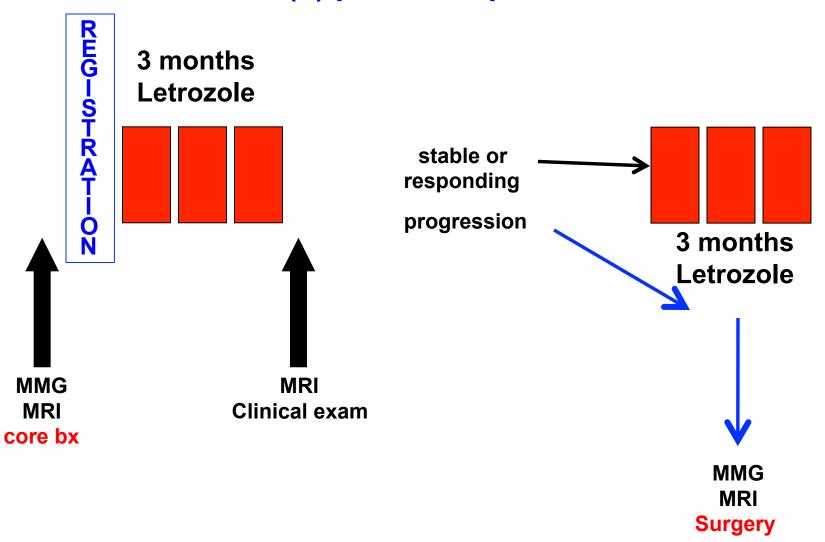
Inclusion Criteria:

- DCIS without invasion on core biopsy
- Postmenopausal
- ER and/or PR (+)
- Radiographically measureable disease (1-5 cm extent of calcifications
- Visible on MRI (will likely need 120 screened to accrue 96 women)

Phase II Single-Arm Study of Neoadjuvant letrozole for ER(+) postmenopausal DCIS



Phase II Single-Arm Study of Neoadjuvant letrozole for ER(+) postmenopausal DCIS



Phase II Single-Arm Study of Neoadjuvant letrozole for ER(+) postmenopausal DCIS

Accrual Goal:

96 patients over 3 years

Site Qualifications:

- ACRIN certified site (all sites with previous MRI studies through ACRIN will qualify)
- CCOPs encouraged to participate

Phase II Single-Arm Study of Neoadjuvant letrozole for ER(+) postmenopausal DCIS

Correlative Endpoints:

- Primary:
 - Predictive and prognostic tissue biomarkers associated with letrozole response (good vs. poor responders)
 - Proliferative genes
 - Cell cycle genes
 - Macrophage activation assays (CSF1, CD68)
 - DCIS Score
 - PAM50
- Secondary:
 - Pharmacogenomic predictors of AI-induced arthralgias

Companion Study to CALGB 40903—PI: Kimmick

QOL and musculoskeletal symptoms associated with taking letrozole before surgery for ER+ DCIS

Als and Tamoxifen: Potential Risks and Benefits

- **↓ Contralateral BC**
- Osteoporosis risk
- **↓ Myalgia**
- **↓** Hyperlipidemia

↓ Contralateral BC

↓ Deep vein thrombosis

↓ Endometrial cancer

↓ Hot flashes

Neurocognition? Sexual function? Cardiovascular disease?

Tamoxifen

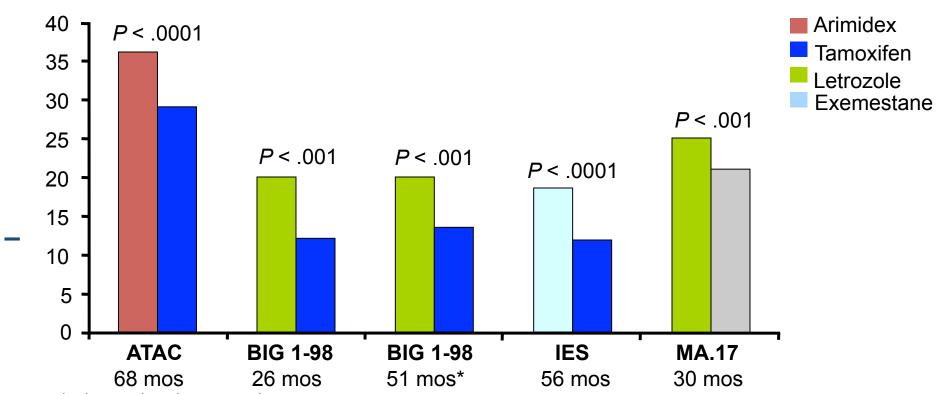


- ↑ Hot flashes
- Thromboemboli
- ↑ Endometrial cancer
- ↑ Genitourinary adverse effects

↑ Arthralgia/myalgia

↑ Osteoporosis risk

Arthralgia in Adjuvant Al Trials



^{*51-}mo analysis restricted to monotherapy arms.

ATAC Trialists' Group. Lancet. 2005;365:360. Coates AS, et al. J Clin Oncol. 2007;25:486-492. Coombes RC, et al. Lancet. 2007;369:559-570. Goss PE, et al. J Natl Cancer Inst. 2005;97:1262-1271.

Phase II Single-Arm Study of Neoadjuvant letrozole for ER(+) postmenopausal DCIS

QOL companion: Primary Aims:

- Assess side effects associated with letrozole therapy.
- Examine the association between letrozole therapy associated side effects and quality of life.
- Examine the relationship between letrozole therapy side effects and medication taking behavior.

QOL Measures

Measures to Assess Side Effects: Menopause Specific Quality of Life Questionnaire (MENQOL), Brief Pain Inventory (BPI), Pain and Stiffness (WOMAC)

Measures to Assess QOL and psychosocial functioning:

Functional Assessment of Cancer Therapy – General (FACT-G), Self-Efficacy for Coping with Side Effects (modified version of a standard self-efficacy scale)

Measures to assess medication-taking behavior: Morisky
Medication Adherence Scale (MMAS), Beliefs about Medicines
Questionnaire (BMQ), Self-Efficacy for Taking Medications (Self-Efficacy for Appropriate Medication Use Scale - SEAMS)

Phase II Single-Arm Study of Neoadjuvant letrozole for ER(+) postmenopausal DCIS

Important to keep in mind:

- Surgery up to patient and surgeon
- Adjuvant treatment not predetermined
- No follow up required
- Patients who refuse surgery will be excluded from analysis of some, but not all endpoints

Update CALGB 40903: 4/14

- 52 sites submitted to IRB
- 20 sites open (Duke, MD Anderson, UCSF, Christiana Care, Bay Area Tumor Institute, Cedars Sinai, DFCI, Mayo, Missouri Baptist, OSU, St. Elizabeth, Pardee, Bethesda, Columbus Regional)
- 49 patients accrued (of 96)
- First modification submitted to DCP
 - Up to 7 cm of disease
 - Includes microinvasion
 - Fewer blood draws
 - More accommodating windows for trial requirements

Baseline MRI



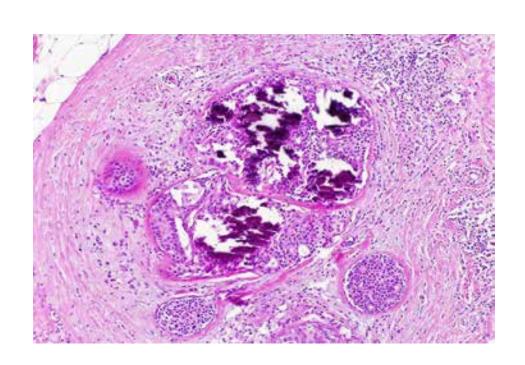
3-month MRI

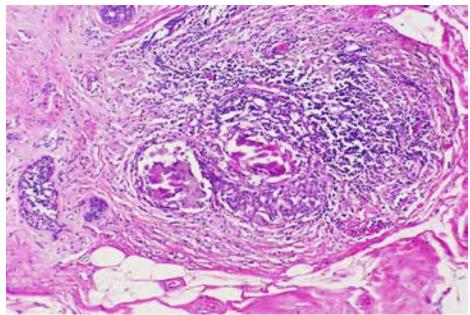


6-month MRI

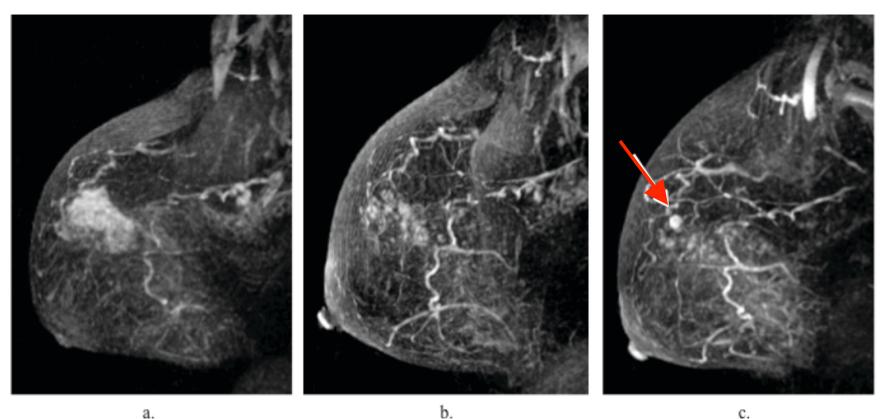


DCIS following 6 months AI





"Active surveillance" with MRI monitoring



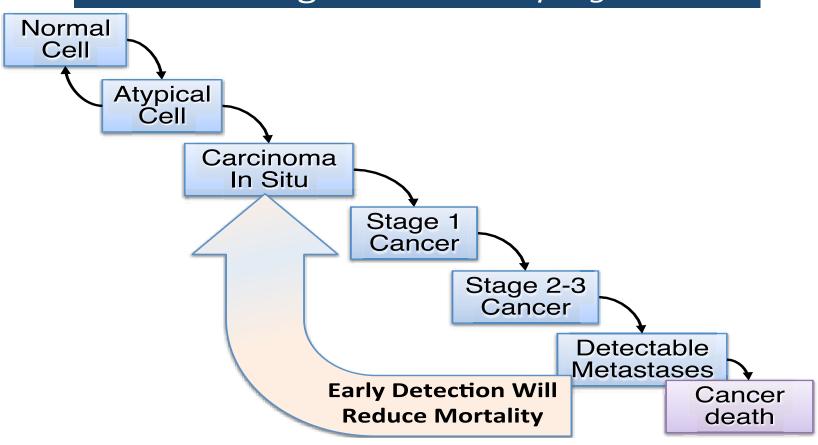
58 year old woman with high grade DCIS. (a) Baseline MRI shows extensive abnormal clumped ductal enhancement in the upper breast. (b) Breast MRI at 19.3 months since diagnosis demonstrates improved appearance of abnormal enhancement in the right breast. (c) Breast MRI at 25.5 months since diagnosis demonstrated continual improvement in clumped ductal enhancement with a new 6 mm mass enhancement (white arrow) representing 8 mm of Grade 3 ER-/PR-/Her2neu+ IDC at surgery.

Conclusion:

- DCIS is a precursor lesion to invasive cancer
- Excellent outcome with current treatments
- Not all DCIS will become invasive cancer but invasive recurrence impacts breast cancer specific mortality
- We currently lack reliable tools to predict invasive progression
- Patient anxiety precludes limited treatment or active surveillance

"Old school..."

Old Paradigm: inexorable progression



"...new rules!"

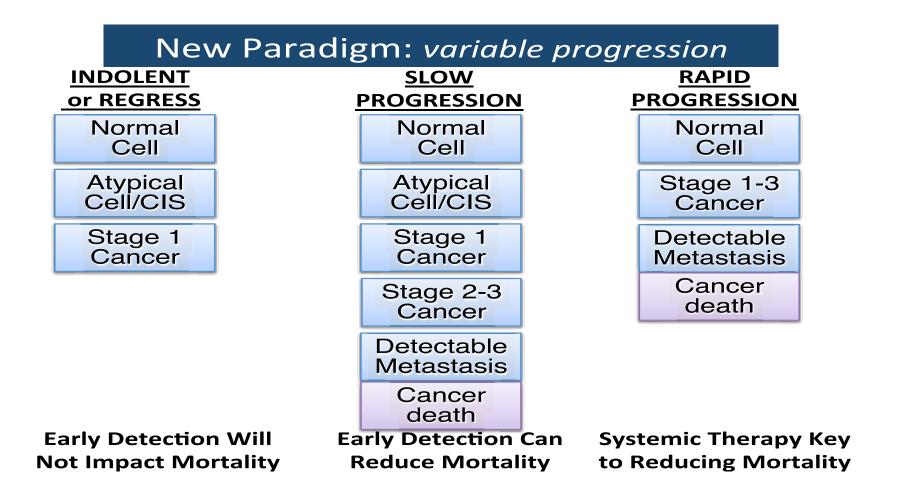
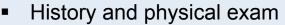


Table 2. Recommendations to the NCI

- 1. Recognize that over-diagnosis occurs and is common.
- 2. Embrace the development of new terminology to replace the word "cancer" where appropriate, when data and/or companion diagnostics support the classification of low risk lesions as IDLE conditions.
- Create observational registries for IDLE conditions and conditions with low or uncertain risk of progression to cancer.
- Mitigate over diagnosis by testing strategies that lower the chance of detecting unimportant lesions.
- 5. Embrace new concepts for how to approach cancer progression and prevention.

NCCN Guidelines for Treatment of DCIS

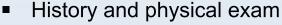
DCIS Stage 0 Tis, N0, M0



- Diagnostic bilateral MMG
- Pathology review
- Determination of tumor ER status
- Genetic counseling if patient is high risk for hereditary breast cancer

- Total mastectomy
- Lumpectomy + whole breast radiation therapy
- Lumpectomy only
- with or without sentinel node biopsy
 +/- reconstruction

ADH/ALH



- Diagnostic bilateral MMG
- Pathology review
- Determination of tumor ER status
- Genetic counseling if patient is high risk for hereditary breast cancer
- Consider chemoprevention, hormonal therapy, targeted biologics, vaccines
- Active surveillance

Thank you!

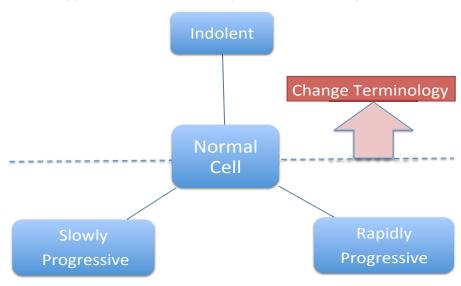


Thank You!

Questions?

shelley.hwang@duke.edu

Biology Determines The Impact of Screening/Treatment



Lumpectomy and radiation:

EBCTCG Overview: RT reduces recurrence in DCIS

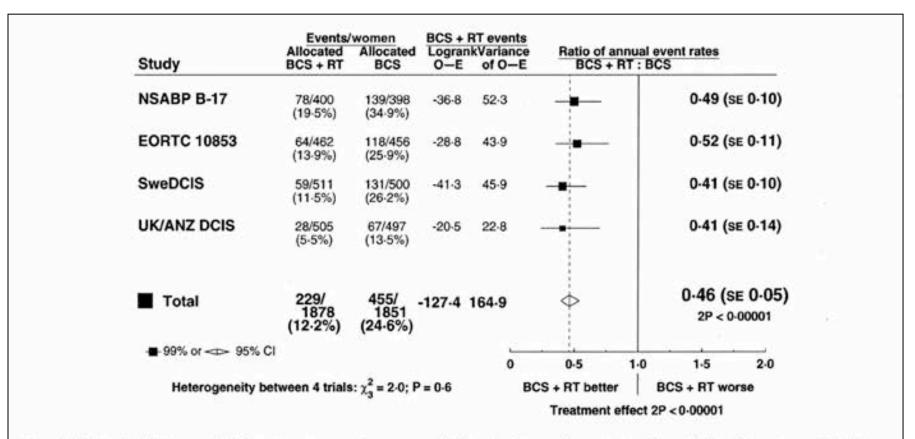


Figure 2. Effect of radiotherapy (RT) after breast-conserving surgery (BCS): ratio of annual event rates of any ipsilateral breast event by trial.

SE = standard error; CI = confidence interval.

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